

## Statement on Research Integrity, 2022–23

### Context

1. As an institution eligible to receive research funding administered through Research England, The Courtauld is required to have procedures governing good research practice in place that adhere to the requirements set out in the Concordat to Support Research Integrity (2019) and UKRI's relevant policies and guidance. Our policies and procedures for the investigation and reporting of unacceptable research conduct must also adhere to these standards.
2. This short annual statement has been designed to meet The Courtauld's obligations in relation to Commitment 5 of the Concordat to Support Research Integrity. It includes a summary of recent actions and activities intended to support understanding and application of research integrity issues, a reflection on existing Courtauld policies and procedures, and a report on formal investigations into research misconduct. These issues are framed within the context of The Courtauld's wider research environment.
3. The existence of this statement should not preclude anyone who wishes to seek advice or raise concerns about the integrity of research conducted by any member of The Courtauld's community from doing so. Research Ethics and Integrity at The Courtauld are overseen by the Dean for Research ([lucy.bradnock@courtauld.ac.uk](mailto:lucy.bradnock@courtauld.ac.uk)), who can be contacted in the strictest confidence. The UK Research Integrity Office is also able to provide independent, expert, and confidential advice on the conduct of academic research.

### Research Integrity and The Courtauld

4. The Courtauld's policy covering issues of research ethics and integrity applies equally to Courtauld staff and students conducting research at any level and to any other person (regardless of their status) engaged in research under the auspices of The Courtauld or on behalf of or in association with The Courtauld. The current version of the policy is available on [The Courtauld website](#).
5. Six core principles are described in the policy and expected of researchers affiliated to The Courtauld; research should: maximise knowledge benefit and minimise risk and harm; be conducted with openness and without deception, coercion or intimidation; respect the rights and dignity and individuals; be conducted with integrity, transparency, and clear lines of responsibility and accountability; ensure that participants of living subjects is voluntary and appropriately informed; maintain independence and where conflicts of interest are unavoidable they should be made explicit. This is not, however, an exhaustive list.
6. Our Ethics Policy is designed to complement the ethical standards or codes of practice issued by relevant professional bodies which are specific to the discipline, such as those issued by the

# The Cour

Institute of Conservation and the Museums Association. Periodic review of the policy is the responsibility of the Research Committee.

7. Researchers are reminded of their responsibilities to work according to the Research Ethics policy and all related professional standards via a combination of individual and collective inductions when joining The Courtauld, the annual staff appraisal process, and regular research review meetings held between members of faculty, the Dean for Research, and the Research Manager.
8. In 2022–23, a focus has been on reviewing existing policies, and setting out plans to revise related internal processes and to communicate these changes (further detail in point 11). The Courtauld continues to be represented at the London Research Integrity Consortium by the Research Manager.

## Reflection on Current Policies and Ambitions for 2023–24

9. We are confident that the policies and procedures governing research at The Courtauld are appropriate in the context of our small, specialist institute. The close working relationship that staff and students are able to develop with the Dean for Research and Research Manager due to our size, and our culture of regular individual meetings to discuss research, are both important factors as we endeavour to create a research environment in which all members of our community feel comfortable to report instances of potential misconduct.
10. In our 2021–22 statement we reiterated our ambition to carry out a full periodic review of all aspects of our Research Ethics and Integrity policies and procedures by the end of Spring semester 2023, and to implement a revised set of policies for the start of the academic year 2023–24.
11. During the 2022–23 academic year, the Vice Dean for Research (now Dean for Research, from November 2023), supported by the Research Manager and Research Committee, undertook a review of existing policy and processes for reporting and investigation of complaints of research misconduct. This review included reviewing and revising our Research Ethics Policy, and setting out plans for developing guidance for researchers and communication of their obligations under this Policy to ensure that it is embedded across the organisation. Recommendations were presented to and approved by Academic Board in May 2023, and our institutional Ethics Committee in October 2023.
12. With a newly revised Research Ethics Policy now in place, a focus for 2023–24 will be revising and developing related processes, and communicating new policies and processes to staff and students. New or improved training opportunities for other research-active members of our community, particularly early career researchers, will be a key element of our 2023–30 research strategy.

# The Cour

## Formal Investigations of Research Misconduct

13. No formal investigations of research misconduct have been carried out in 2022–23.
14. High-level reporting on any future formal investigations of research misconduct will be included in the relevant annual statement. In the event that formal investigations are required, the statement will also provide details of lessons learned from the investigation process and any actions taken to prevent reoccurrence of similar incidents.
15. The presumption of innocence shall be maintained throughout any future formal investigations of research misconduct. Complainants and respondents will be supported through this process and will be entitled to be accompanied to any interview or hearing by a work colleague, trade union or student union representative, or an official employed by a trade union.